# Design and Experience of Generalization Tools

AutoCarto Conference 2006, Vancouver, WA Dan Lee, Paul Hardy ESRI, Redlands, USA (dlee@esri.com; phardy@esri.com)

- Introduction to Geoprocessing
- Topology in Generalization
- TIN-based Generalization
- Quality and Status Information
- Generalization Scenarios
- Future Directions

## ArcToolbox – geoprocessing environment integrated in ArcGIS

- Setting and executing batch processes
- Accessible from ArcCatalog and ArcMap

ArcCatalog - ArcInfo - C:\GenData\polygons\USGS data.mdb\boundary				
File Edit View Go Iools Window Help				
😉 😂 📵 🖻 X 🐁 🎬 🏢 88 😣 🍓 🥸 🗖 😽 🔍 🍭 🖤 🌒 🕖 😤 🦷	FSLands.mxd - ArcMap - ArcInfo			
Location: C:\GenData\polygons\USGS data.mdb\boundary	Eile Edit View Insert Selection Iools Window Help			
	] 🗅 🗳 🖬 🖨 🕺 🖄 🛍 🛍 X 🗠 🗠 💠 🚺 1:6,756,849 💽 📝 🕺 🔕 🗖 🙌 🖾 👰 🧖			
j Stylesheet:  FGDCESRI ⊻ 2 21 21 21 21 21 21 21 21 21 21 21 21 2	Editor 🔻 🕨 🖉 🔻 Task: Create New Feature 🔄 Target: 💽 🔀 🖓 🕻			
Redlands       Type         Image: Contents       Preview       Metadata         Image: Contents       Preview       Municipal_24k.prm100res_Pht       Personal Geoc         Image: Contents       Municipal_24k.prm100res       Personal Geoc       Municipal_24k.prm100flg       Personal Geoc         Image: Contents       Image: Contents       Personal Geoc       Municipal_24k.prm100Pht       Personal Geoc         Image: Contents       Image: Contents       Image: Contents       Personal Geoc       Municipal_24k.prm100       Personal Geoc         Image: Contents       Image: Contents       Image: Contents       Personal Geoc       Municipal_24k.prm100       Personal Geoc         Image: Conversion Tools       Image: Conversion Tools       Image: Conversion Tools       Image: Conversion Tools       Personal Geoc         Image: Conversion Tools       Image: Conversion Tools       Image: Conversion Tools       Image: Conversion Tools       Personal Geoc         Image: Conversion Tools       Im	SlandsPly_Prm28   class 1   class 2   class 3   class 4   class 5   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   FSLandsPly_Prm50   Favorites   Index Search			
	Control of the view			
	witches to data view			

### ArcToolbox – geoprocessing framework

- Single processes
- Chained workflow processes









### Generalization toolset in ArcToolbox

- Significant step in the provision of generalization
- Operating on geodatabase features (vs. traditional coverages)
- Discovering topological relationship on the fly
- Using TIN for neighbor feature analysis



### **Topology in generalization**

- Geodatabase features stored as independent geometries *May not necessarily have vertices or endpoints at connections, intersections, and shared segments*
- Different levels of requirements speed vs. data integrity
- Tools to analyze and preserve embedded topology
- Options to detect and resolve introduced topology errors

**Options in Simplify Line and Simplify Polygon tools:** 

NO\_CHECK (no check for violation of topology) PRESERVE\_SHARED (preserve embedded topology and flag introduced errors) RESOLVE\_ERRORS (preserve embedded topology and resolve introduced errors)

### Preserving embedded (implicit) topology

Connectivity – shared nodes in linear network



#### Adjacency – shared boundaries in polygons



Shared boundaries were ignored – causing inconsistent simplification Shared boundaries were preserved; new errors flagged Shared boundaries were preserved; new errors resolved

#### Grouping – connected polygons to be treated as one object

Measure of the total area for a group of connected polygons The standard query by area or size doesn't have this option.

Analysis of the configuration of connected buildings They are to be processed differently in simplification.



### Handling introduced spatial conflicts



### **TIN-based generalization**

- TIN-based generalization as proven effective technique (Jones et al 1995; Peng 1997; ...)
- TIN functions accessible in ArcObjects

Aggregation of polygon features

Finding clusters Reconstructing new polygons Excluding small areas and holes





Derivation of road centerlines (focusing on open-ended)

Excluding street blocks Excluding open areas



Other styles: Closed-ended (pavement polygons); Mixed (highway with crossings and ramps, railroad tracks)



Identifying and analyzing junctions and out-of-range roads Deriving centerlines in the remaining space



### **Quality and status information**

#### • Tracking feature conflicts

NFSLAND	ADMIN_FO	NFB_AD	Shape_Length	Shape_Area	InPoly_FID	SimPlyFlag
3536	Santa Fe	Y	87173.372750377	283730293.4164	1	1
3341	Santa Fe	Y	450491.29490264	3606204903.905	2	1
3530	Santa Fe	Y	56843.354729772	187132638.2375	10	0
3529	Santa Fe	Y	304232.87640398	2640108060.955	9	0
3479		N	58065.964633953	166854934.8164	5	0
3467		N	67295.991230405	206296014.1043	4	0

Shape_Area	InBld_FID	BLD_STATUS	BLD_GROUP	SimBldFlag
1586.31716395377	848	1	0	1
485.577059730464	345	1	0	1
1426.27573647369	346	1	<u>\</u>	1
822.719069886236	847	1	0	
1017.08185538781	485	1	0	1
482.770996360363	481	1	0	1
1342.08976997045	518	1	0	0
3287.99287264462	519	1	0	0
2177.31582456626	520	1	0	0

*Too many flags indicates either tolerance too large or high congestion – consider smaller tolerance or different generalization operations (elimination, aggregation, collapse, etc.)*  Categorizing generalized features and their status

**Building simplification status** 



#### Classifying line types and their status



- Linking output features to input (1 to 1; 1 to N)
- Maintaining metadata and logging processes (history models)



### **Generalization scenario 1**

• Preserving connectivity while simplifying multiple hydrographic features

Input: stream lines, wider rivers (double-lines), and lake polygons

### Geoprocessing model – Simplify Multi-Hydro Features





Output: simplified stream and river lines and restored lake polygons



### **Generalization scenario 2**

Partitioning and generalizing buildings

Selecting roads and forming polygons as partitions (Feature To Polygon); Overlaying large buildings with partition polygons (Intersect) – buildings in each partition carry a unique partition ID; Selecting buildings by partition ID; Aggregating buildings; Simplifying aggregated buildings; Evaluating results (flagged conflicts)



# Buildings are grouped by street block partitions.



Selected by a partition ID (small buildings already eliminated); then aggregated.

Simplified with conflicts flagged – hint for displacement or adjustment of parameters.

### **Future directions**

- Extend self-contained processes to involve additional spatial context and constraints
- Introduce an optimization mechanism for generalization

*Optimizer – a research and development project underway* 

- Optimizing both map content and legibility while conserving map accuracy, geographic characteristics, and aesthetic quality
- Constraints with associated actions
- Iterations against the measures of satisfaction
- Simulated Annealing technique gradually lowering notional "temperature"

**Optimization of displacement** 

Initial stage

#### **Displacement without barriers**



#### **Displacement with barriers**



### Satisfaction graph – constraints and "temperature"



### Conclusions

- Continue designing and enhancing generalization tools with more flexibility, feedback, and integration (batch and follow-up)
- Derive and formalize generalization workflows (models) to reach higher productivity
- Address contextual generalization through optimization
  - Develop methods to derive context space
  - Establish constraints and measures of satisfaction
  - Define and prioritize rules and actions